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# Sodium and sodium-ion energy storage batteries

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# ABSTRACT

Owing to almost unmatched volumetric energy density, Li-ion batteries have dominated the portable electronics industry and solid state electrochemical literature for the past 20 years. Not only will that continue, but they are also now powering plug-in hybrid electric vehicles and electric vehicles. In light of possible concerns over rising lithium costs in the future, Na and Na-ion batteries have re-emerged as candidates for medium and large-scale stationary energy storage, especially as a result of heightened interest in renewable energy sources that provide intermittent power which needs to be load-levelled. The sodium-ion battery field presents many solid state materials design challenges, and rising to that call in the past couple of years, several reports of new sodium-ion technologies and electrode materials have surfaced. These range from high-temperature air electrodes to new layered oxides, polyanion-based materials, carbons and other insertion materials for sodium-ion batteries, many of which hold promise for future sodium-based energy storage applications. In this article, the challenges of current high-temperature sodium technologies including Na-S and Na-NiCl<sub>2</sub> and new molten sodium technology, Na-O<sub>2</sub> are summarized. Recent advancements in positive and negative electrode materials suitable for Na-ion and hybrid Na/Li-ion cells are reviewed, along with the prospects for future developments.

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# 1. Introduction

Energy storage has become a growing global concern over the past decade as a result of increased energy demand, combined with drastic increases in the price of refined fossil fuels and the environmental consequences of their use. This has increased the call for environmentally responsible alternative sources for both energy generation and storage. Although wind and solar generated electricity is becoming increasingly popular in several industrialized countries, these sources provide intermittent energy; thus energy storage systems are required for load-leveling, i.e., storage of energy until needed by the electrical grid. Portable energy solutions that realize the practical use of hybrid electric vehicles (HEVs), plug-in hybrid electric vehicles (PHEVs) and purely electric vehicles (EVs) will further reduce dependence on fossil fuels.

Lithium-ion batteries, the most common type of secondary (rechargeable) cells found in almost all portable electronic devices, are a possible solution to these larger global concerns [1]. Lithium-based electrochemistry offers several appealing attributes: lithium is the lightest metallic element and has a very low redox potential  $(E_{(Li^+/Li)}^{\circ}) = -3.04$  V versus standard hydrogen electrode), which enables cells with high voltage and high energy density. Furthermore, Li<sup>+</sup> has a small ionic radius which is beneficial for diffusion in

\* Corresponding author. *E-mail address:* lfnazar@uwaterloo.ca (L.F. Nazar). solids. Coupled with its long cycle life and rate capability, these properties have enabled Li-ion technology to capture the portable electronics market.

The demand for lithium-ion batteries as a major power source in portable electronic devices and vehicles is rapidly increasing: lithium-ion batteries are regarded as the battery of choice for powering future generations of HEV and PHEVs. With the likelihood of enormous demands on available global lithium resources, concerns over lithium supply – but mostly its cost – have arisen. Many global lithium reserves are located in remote or in politically sensitive areas [2,3]. Even if extensive battery recycling programs were established, it is possible that recycling could not prevent this resource depletion in time. Furthermore, increasing lithium utilization in medium-scale automotive batteries will ultimately push up the price of lithium compounds, thereby making large-scale storage prohibitively expensive.

With sodium's high abundance and low cost, and very suitable redox potential ( $E_{(Na^+/Na)}^{\circ} = -2.71$  V versus standard hydrogen electrode; only 0.3 V above that of lithium), rechargeable electrochemical cells based on sodium also hold much promise for energy storage applications. The report of a high-temperature solid-state sodium ion conductor – sodium  $\beta''$ -alumina (NaAl<sub>11</sub>O<sub>17</sub>) – almost 50 years ago spawned tremendous interest both in the field of solid state ionics and sodium electrochemistry [4]. This material became the electrolyte/separator that was key to the development of two battery types that are commercially available: sodium sulfur (Na–S) and ZEBRA (Zero-Emission Battery Research Activities) cells

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which are based on Na-NiCl<sub>2</sub>. Both cells operate at high temperature (near 300 °C) where sodium and the positive electrodes are molten (thus removing concerns over internal short circuits as a result of dendrite formation). The good ionic conductivity of the sodium  $\beta$ "-alumina membrane at the elevated temperatures further helps to lower cell impedance. Other fast sodium ion conductors have been under development in recent years, which have propelled this field forward, and led to the possibility of Na-batteries that operate at lower temperatures, i.e., either at room temperature or just above the melting point of sodium (100 °C). Sodium*ion* cells based on intercalation materials that employ non-aqueous electrolytes, akin to lithium-ion batteries, were explored in the mid-1980s, and have undergone a renaissance in the last few years with quite a number of new materials and approaches having been reported.

After providing brief updates on new developments in Na–S and ZEBRA systems and a novel Na–O<sub>2</sub> battery design, we review the recent research highlights of sodium-ion based electrochemistry, with a focus on recent work on intercalation compounds for positive electrode materials for sodium intercalation (including layered transition metal oxides and polyanionic compounds) and negative electrodes (including hard carbons, titanium and vanadium oxides). The details of these technologies and some of the significant issues and opportunities provided by the field of sodium and sodium-ion batteries will be discussed herein.

#### 2. Molten sodium cells (sodium batteries)

#### 2.1. Sodium sulfur (Na-S) batteries

Sulfur, based on its low cost, high capacity, and environmental friendliness, has been heavily researched in the past as a positive electrode material. Typical Na-S cells contain molten sodium (the negative electrode) housed within a sodium  $\beta''$ -alumina tube which is surrounded by molten sulfur [5]. A schematic diagram of the high-temperature Na–S battery is shown in Fig. 1a. During discharge of the cell, sodium is oxidized and as the electrons pass through the external circuit, sodium ions pass through the  $\beta$ -alumina electrolyte to the positive electrode where they react with sulfur. The electrochemical profile is summarized in Fig. 1b. Initially, a two-phase region exists at 2.075 V between sulfur and the initial sodium polysulfide that is formed (Na<sub>2</sub>S<sub>5</sub>), as these

two liquids are immiscible at the operating temperature [6]. Further reduction to  $Na_2S_4$  occurs between 2.075 V and 1.74 V and proceeds *via* a solid-solution mechanism. Reduction to  $Na_2S_3$  at 1.74 V occurs via a two-phase transition. At deeper discharge, a solid phase with a high melting point forms ( $Na_2S_2$ ). At this point, increased resistance at the positive electrode prohibits further discharge, limiting the gravimetric capacity of the positive electrode to 836 mAh/g although full cells operate at much less than this.

Much research has been devoted to practical aspect of these cells, related to the high operation temperature (270–350 °C). At these temperatures, molten sulfur, sodium and the polysulfide compounds are highly corrosive: containers and seals must be resistant under these conditions. Chromized steel and molybde-num-lined steels have been shown to resist corrosion in these cells [7]. Since 2003, these cells – primarily manufactured by NGK, Japan – have been widely used for load-leveling and emergency power applications in 174 locations in six countries around the world, storing 305,000 kW of electricity. These have been incident free until September this year when a battery fire erupted at the Tsukaba Plant in Japan (although it was quickly brought under control without further ramifications).

Research on "low temperature" Na-S batteries, analogous to Li-S batteries which offer great promise as low-cost, high-capacity energy storage systems [8-10], is underway to mitigate some safety concerns. The cells operate either at room-temperature or just below 100 °C, and rely on conventional separators and organic electrolytes containing sodium salts such as NaPF<sub>6</sub>; and a porous conductive carbon to contain the sulfur at the positive electrode. Unlike high-temperature Na-S cells, these cells have a much higher capacity: the theoretical gravimetric capacity of the sulfur electrode is 1672 mAh/g based on full reduction to Na<sub>2</sub>S, although most reports fail to achieve a third of this capacity [11-13]. These low capacities are likely the result of the formation of soluble polysulfides which diffuse through the electrolyte to the negative electrode to form lower-order polysulfides. This phenomenon is also a common problem in Li-S cells, although it may be mitigated by coatings on the sulfur/carbon composite electrode [8]. The problem is exacerbated in the Na-S cell, however, owing to the much higher solubility of the polysulfide species. New approaches to devise protective layers for both the positive and negative electrode, and the development of improved electrolytes hold promise for the future of the "low"-temperature Na-S cell.



Fig. 1. (a) Schematic representation of the sodium sulfur cell, reproduced with permission from [101] and (b) representation of voltage profile for Na-S cell with phases present at each stage shown. Reproduced with permission from [6].

#### 2.2. Sodium-air (Na-O<sub>2</sub>) cells

Air electrodes operate by the reaction of oxygen with alkali metal ions to produce alkali oxides. The positive electrode employs porous carbon and/or porous metal as the current delivery system for  $O_2$  reduction and as host for the product. The discharge reaction fills the voids with the oxide product and terminates when these voids are filled. Oxygen oxidation and reduction benefit from the use of a catalyst, usually dispersed on the porous matrix. Thus simultaneous contact is necessary for the reaction of alkali ions and oxygen molecules (present in the electrolyte) and electrons (delivered by the conductive matrix) for oxygen reduction at the catalyst sites on discharge. A representation of the Na– $O_2$  cell is shown in Fig. 2a. The potentials for reactions of sodium with oxygen are given below:

$$Na^+ + O_2 + e^- \rightarrow NaO_2 \quad E = 2.263 V$$
 (1)

 $2Na^{+} + O_{2} + 2e^{-} \rightarrow Na_{2}O_{2} \quad E = 2.330 \text{ V}$  (2)

$$4Na^{+} + O_2 + 4e^{-} \rightarrow Na_2O \quad E = 1.946 V \tag{3}$$

Although research on Li– $O_2$  batteries is fervent [14–19], Na– $O_2$  cells are in their infancy, with one on ambient temperature cells from Sun et al. [20] which followed the initial report on molten Na– $O_2$  cells from Peled et al. [21]. Sun's report proved to be interesting, however, the electrolyte used in the study was a mixture of organic carbonates and in Li– $O_2$  cells, carbonate-based electrolytes are known to decompose [22]. The oxide product formed on discharge in the Na– $O_2$  cell at ambient temperature was identified as Na<sub>2</sub>O<sub>2</sub>, possibly by decomposition of NaO<sub>2</sub>. Under certain conditions, it may be possible to stabilize NaO<sub>2</sub>.



**Fig. 2.** (a) Schematic representation of Na-O<sub>2</sub> battery on discharge and (b) voltage profile for Na-O<sub>2</sub> battery on first discharge cycle. Reproduced with permission from [21].

Unlike Li-O<sub>2</sub> cells which normally operate at ambient temperatures, Peled replaced the metallic lithium anode with sodium and operated the cell above the melting point of sodium (98 °C) which prevented the formation of metallic dendrite formation on the negative electrode during charge. Additionally, at temperatures above 100 °C, the adsorption of water vapor by the cell components was negligible so minimal interference of atmospheric water was expected. The voltage profile of the high-temperature Na-O<sub>2</sub> cell using a polymer electrolyte is shown in Fig. 2b. The Na-O<sub>2</sub> cell exhibited a charging potential at 2.9 V and 1.8 V on discharge. With the discharge potential expected to lie around 2.3-2.4 V, the low discharge voltage suggests kinetic overpotential is a concern for this Na–O<sub>2</sub> cell, possibly due to the polymer electrolyte. In Li-O<sub>2</sub> cells, the overpotential is usually 0.3-0.4 V [23,24]. In addition, lithium metal reacts with the electrolyte to form a surface solid electrolyte interphase (SEI) layer, which is re-formed on continuous cycling [25]. Lithium dendrite formation on charge is thus a concern for Li–O<sub>2</sub> cells. The successful cyclability demonstrated in this initial Na-O2 report will encourage the development of a new generation of high specific energy density rechargeable cells.

# 2.3. ZEBRA cells

ZEBRA cells were developed in the 1980s and contain a liquid sodium negative electrode and a metal chloride positive electrode, usually NiCl<sub>2</sub> [26]. More recent work has shown that addition of iron to the cell increases the power response [27–29]. The discharge reactions and potentials versus sodium at 300 °C are shown below:

$$NiCl_2 + 2Na \rightarrow Ni + 2NaCl \quad E = 2.58 V$$
 (4)

$$FeCl_2 + 2Na \rightarrow Fe + 2NaCl \quad E = 2.35 V$$
 (5)

A schematic of the sodium ion transport through the cell during discharge is shown in Fig. 3. Sodium ions which result from the oxidation of sodium at the negative electrode are transported through the solid sodium  $\beta''$ -alumina electrolyte to the NiCl<sub>2</sub> by a secondary electrolyte (a eutectic mixture of NaCl and AlCl<sub>3</sub>). For most of the discharge, the system functions as a Na-NiCl<sub>2</sub> cell. If a high current pulse is applied to the cell and the working voltage falls below 2.35 V, the iron reaction augments the main nickel reaction: both discharge in parallel. This occurs at the front of the electrode and the cell therefore has its minimum resistance. When the working voltage recovers above 2.35 V, the iron produced is then reoxidized to FeCl<sub>2</sub> by the remaining nickel chloride and the FeCl<sub>2</sub> is then available for the next high current discharge.

An advantage of the ZEBRA cells is that they may be assembled in the discharged state with NaCl, Al, nickel and iron powder. Fur-



Fig. 3. Schematic representation of Na-NiCl<sub>2</sub> (ZEBRA) cell on discharge.

thermore, the positive electrode is comprised mostly of solid materials which reduces corrosivity and makes the cell intrinsically safer than the Na–S cell. Combined with the higher voltage, these cells may be of practical use in electric vehicles if the synthesis and processing of the sodium  $\beta''$ -alumina components are optimized, or if other better Na-ion solid state electrolytes are developed [30–32].

# 3. Sodium-ion batteries

Sodium batteries were initially researched alongside Li batteries in the late 1970s and through the 1980s [33-40] although the benefits of lithium batteries, namely higher energy density as a result of higher potential and lower mass, shifted the focus of the battery community away from sodium. Sodium-ion cells do not employ sodium as the negative electrode; they are comprised of hard carbons or intercalation compounds. The negative electrode is one of the most troublesome components of the sodium-ion cell, in fact, since typical graphitic carbons employed in Li-ion cells cannot be used as discussed below. On discharge, the negative electrode is oxidized and sodium is released into the electrolyte while the positive electrode intercalates sodium and undergoes reduction on discharge. A summary of potentials as well as theoretical and achieved capacities for positive and negative electrode materials for sodium-ion batteries is presented in Figure 4. Not surprisingly, many of the materials suitable for sodium-ion batteries are similar to those which have been exhaustively researched over the past 20 years for lithium-ion batteries, including layered transition metal oxides, olivines and compounds with the NASICON framework. Furthermore, sodium-containing positive electrode materials may be cycled with a sodium salt electrolyte in sodium-ion cells or versus lithium with a lithium salt electrolyte in hybrid Na/Li-ion cells as described for some of the compounds below.

#### 3.1. Positive electrode materials for Na-ion batteries

#### 3.1.1. Manganese oxides

 $Na_{0.44}MnO_2$ , first prepared *via* a solid-state route by Sauvage et al., has a structure comprised of wide tunnels which attracted attention as a possible positive electrode material for intercalation [41]. It offers the possibility of better cycling stability because of its ability to tolerate some stress during structural change. Insertion/

deinsertion of sodium revealed capacities as high as 140 mAh/g over the course of multiple voltage steps (six biphasic transitions) within a potential range of 2-3.8 V. The insertion process within the Na<sub>x</sub>MnO<sub>2</sub> system is fully reversible over the 0.25 < x < 0.65composition range although some irreversibility resulted when the material was charged beyond Na<sub>0.25</sub>MnO<sub>2</sub>. Single-crystal nanowires of the material display similar properties, with reversible capacity up to 128 mAh/g at a rate of C/10 and good capacity retention over 1000 cycles [42]. Na<sub>0.44</sub>MnO<sub>2</sub> was also one of the first sodium-containing positive electrode materials cycled in a Li-ion cell to make a hybrid Li/Na-ion battery, using a polymer electrolyte (PEO/LiCF<sub>3</sub>SO<sub>3</sub>) [43]. The capacity for lithium in Na<sub>0.44</sub>MnO<sub>2</sub> was similar to that of sodium: the full theoretical capacity (180 mAh/ g) could also be reached in the case of lithium, albeit with notable capacity fade over 60 cycles. More recently, Na<sub>0.44</sub>MnO<sub>2</sub> has been shown to cycle well in a sodium-ion polymer battery that emploved a crystalline polymer system as the electrolyte. PEO<sub>8</sub>NaAsF<sub>6</sub> [44]. And perhaps mostly importantly, the Na<sub>0.44</sub>MnO<sub>2</sub> structure is also highly amenable to reversible Na de/insertion in aqueous media, where it has been studied in conjunction with an activated carbon negative electrode to create a water based Na-ion cell [45].

 $\lambda$ -MnO<sub>2</sub>, prepared by chemical delithiation of the spinel LiMn<sub>2</sub>O<sub>4</sub> is reported to become amorphous when cycled versus sodium although the disordered phase can intercalate up to 0.6 Na per formula unit between 2–4 V [46]. This material is also the subject of aqueous-based Na-ion battery systems that are under commercial development.

#### 3.1.2. Layered oxides

Known for their commercial domination of the Li-ion battery market, layered oxides of the type  $AMO_2$  (A = Li, Na; M = Co, Mn, Ni and combinations thereof) [47–50] are sought after for their high intercalation potentials and energy densities. The alkali cation A is reversibly de/intercalated between the two-dimensional layers of transition metal MO<sub>6</sub> octahedra on electrochemical cycling. Similar to the lithium metal oxides, sodium oxides can exist as one of several polytypes. They differ in the stacking of the oxygen layers (ABCABC for O3, ABBA for P2 and ABBCCA for P3, using the notation designated by Delmas), resulting in different intercalation sites for the alkali cation [51]. LiMO<sub>2</sub> materials with the "ideal" O3 layered structure (M = Co, Ni and mixtures with Mn) have been the most thoroughly studied owing to their excellent electrochemical characteristics;



Fig. 4. Key positive and negative electrode intercalation materials for sodium-ion batteries: theoretical capacities of the various materials at their various potentials are shown with blue ovals, while achieved capacities are shown with gray bars. A detailed description of each group of compounds may be found in the text.

unfortunately Ti, Fe, or Mn do not crystallize in this phase as single metal compositions.  $Na_xMO_2$  materials, in contrast, form the "ideal" ordered O3 – type layered structure more readily due to the larger ionic size difference between alkaline and transition metals that drives the segregation of the A and M into alternating layers. The deintercalation and intercalation of sodium in various layered  $Na_x$ .  $MO_2$  has been reported for a very wide variety of transition metals as briefly outlined in a recent report [52]. Of these, the manganese and cobalt oxides are the most viable for positive electrodes.

The known phases of  $Na_xMnO_2$  (x = 0.2, 0.40, 0.44, 0.70, 1) include two for x = 1 [53]. The phase formed at lower temperatures,  $\alpha$ -NaMnO<sub>2</sub>, displays an O3 layered structure with a monoclinic structural distortion due to the Jahn–Teller distortion of the Mn<sup>3+</sup> ion, whereas high temperature orthorhombic  $\beta$ -NaMnO<sub>2</sub> adopts a double stacked sheet structure. Computational studies indicate that the former is energetically more stable [54]. The electrochemical behavior of both  $\alpha$ - and  $\beta$ -NaMnO<sub>2</sub> were reported by Mendiboure et al. in 1985, indicating that only 0.22 and 0.15 Na could be reversibly extracted and re-intercalated, respectively [55]. Recently, the electrochemical properties of the monoclinic  $\alpha$ -NaMnO<sub>2</sub> have been revisited. In a significant improvement, the authors showed that about 0.8 Na can be reversibly de/intercalated with good capacity retention, corresponding to a 200 mAh/g capacity [49]. As the potential for Na de/insertion was the same, the reasons for the difference with the earlier work are not clear, although they may be related to the electrolyte. The previous report utilized Na-ClO<sub>4</sub> in propylene carbonate, whereas the latter used NaPF<sub>6</sub> in ethylene carbonate/dimethylcarbonate. The voltage profiles of monoclinic NaMnO<sub>2</sub> reveal very pronounced structural transitions on desodiation [49], which have also been observed in NaCoO<sub>2</sub>; see below. These are much less common in Li intercalation oxides. They are likely due to Na-vacancy ordering or transitions that involve the gliding of oxygen planes, especially as Na likes to adopt both octahedral and trigonal prismatic environments and the latter can only be achieved in an O3 stacking by sliding some of the oxygen lavers. The difference between the Li and Na oxides might be explained by the possibility that Na<sup>+</sup>-vacancy ordering interactions are stronger due to sodium's larger cation radius. Alternatively, the oxygen layer gliding may be responsible, as it would allow optimization of Na coordination at each stoichiometry - which would not be as strong a driving force in the lithiated oxides. Overall, the effect is to create more cell hysteresis and reduce the energy efficiency somewhat. However, it is also interesting that 50% desodiation of NaMnO<sub>2</sub> does not result in conversion to a spinel



**Fig. 6.** Electrochemical potential of Na<sub>0.85</sub>Li<sub>0.17</sub>Ni<sub>0.21</sub>Mn<sub>0.64</sub>O<sub>2</sub> at various discharge rates. Reproduced with permission from [58].

as it does in the case of  $Li_{0.5}MnO_2$  where migration of lithium to a tetrahedral site serves to trigger the transformation to spinel  $LiMn_2O_4$ . This ultimately results in capacity fading. This difference in behavior owes to the much higher relative stability of the Na<sup>+</sup> site in the intermediate layered Na<sub>0.5</sub>MnO<sub>2</sub>, that inhibits Na<sup>+</sup> migration. Good cyclability of the material results.

Regarding cobalt oxides,  $Na_xCoO_2$  exists as either the O3, P2 or P3 types, depending on the amount of Na intercalated. Of these, the P2 phase ( $Na_{0.66}CoO_2$ ) is the most easily synthesized. The voltage profile (Fig. 5) shows that sodium extraction and reintercalation into  $Na_{0.66}CoO_2$  occurs *via* several steps. Cells run at higher temperature (40 °C) retain the most stable electrochemical features (# 3, 8 and 9 from Fig. 5) and also maintain a similar capacity which indicates greater sodium mobility (disorder) and phase stability. Reduction of particle size of the synthesized compounds was found to improve electrochemical performance [56]. In contrast to the complicated mechanism of sodium extraction from the pure Co P2 phase, the P2 phase of  $Na_{0.66}Co_{0.66}Mn_{0.33}O_2$  displayed solidsolution behavior on sodium extraction, except at the composition  $Na_{0.50}Co_{0.66}Mn_{0.33}O_2$  where an ordered line-phase was found [57].

Another layered oxide, based on nickel(II)/manganese(IV) –  $Na_{0.85}Li_{0.17}Ni_{0.21}Mn_{0.64}O_2$  – also displays promising electrochemistry [58] as shown in Fig. 6. Assuming the  $Ni^{2+} \rightarrow Ni^{4+}$  redox reaction, the theoretical capacity is based on extraction of 0.42 Na (112 mAh/g). The voltage profile on discharge/charge was a smooth curve which



**Fig. 5.** Galvanic cycling of Na<sub>0.7</sub>CoO<sub>2</sub>, showing phase transitions on electrochemical cycling. Closeup of the region between Na<sub>0.7</sub>CoO<sub>2</sub> and Na<sub>0.85</sub>CoO<sub>2</sub> shown in right inset. Structure of Na<sub>0.7</sub>CoO<sub>2</sub> shown in left inset. Reproduced with permission from [51].

indicated intercalation within a single phase. Impressive rate capability was demonstrated with this material: the observed discharge capacity of 65 mAh/g at high rate of 25 C (discharge in about 3 min) corresponds to a power density of about 670 W/kg.

The layered metal oxide structures are certainly amenable to sodium intercalation and extraction. A recent comprehensive article nicely contrasts the difference between Na and Li ion chemistries in a variety of compounds - including layered oxides, olivines and NASICONs - with respect to voltage, phase stability and activation energy for ion mobility [59]. The authors demonstrate that the generally lower calculated voltages for Na compounds are due to the smaller energy gain obtained from inserting Na into a host structure, versus that of Li. The differences, typically between 0.18 and 0.57 V, may be especially advantageous for the design of sodium battery negative electrode materials. Their calculations also show that Na<sup>+</sup> migration barriers may be lower than the corresponding Li<sup>+</sup> migration barriers in the lavered structures. Although diffusional barriers are very structure dependent, this holds promise for the development of materials with improved kinetics.

#### 3.1.3. NASICON-type materials

Compounds based on the 3-D structure of NASICON (NAtrium Super Ion CONductor) have been extensively studied for their structural stability and fast ion conduction, initially as solid electrolytes and more recently as insertion materials [60-62]. NaNb- $Fe(PO_4)_3$  and  $Na_2TiFe(PO_4)_3$  were initially examined in 1992 by Tillement et al. [63] and the latter was also reported later by Masquelier and co-workers, along with Na<sub>2</sub>TiCr(PO<sub>4</sub>)<sub>3</sub> [64]. Ironcontaining phosphate compounds are sought after as electrodes owing to their stability and environmentally benign character. The  $Fe^{3+} \rightarrow Fe^{2+}$  redox potential in these compounds is quite low, around 2.4 V versus Na/Na<sup>+</sup> owing to the corner-shared framework which reduces the influence of the inductive effect [65]. Full reduction of Fe (to Fe<sup>2+</sup>) and Ti (to Ti<sup>3+</sup>) was observed on electrochemical cycling of  $Na_2TiFe(PO_4)_3$  with a desirably small polarization. The intermediate voltage, however, makes them less attractive as positive electrodes, but not quite low enough to function as negative electrode insertion materials. Variations in composition to further lower the insertion potential are discussed later in the context of negative electrodes.

Sodium intercalation in Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> was studied in 2002 by Yamaki et al. [66]. When placed initially in discharge, the capacity of this compound was found to be 50 mAh/g at 1.6 V versus Na. Furthermore, after the material was charged to the original stoichiometry, the material could be further charged at 3.4 V versus Na and the discharge capacity at this step was 90 mAh/g. As with other  $V^{3+}$ phosphates such as LiVPO<sub>4</sub>F [67], Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> is an ideal candidate for fabrication of symmetric cells, owing to the range of oxidation states of vanadium. With that intent, it was revisited by the same group in 2010 [68], although cycling stability over several cycles was poor.

# 3.1.4. Olivines

The ability of the layered oxide structure and the 3D network of NASICON to flexibly accommodate ions in either their layered or large interstitial spaces allows the existence of stable versions of these compounds for both Na and Li. This is not the case with LiFe- $PO_4$  which crystallizes in the olivine structure [69]: the corresponding sodium compound, NaFePO<sub>4</sub>, crystallizes in the maricite structure and is electrochemically inactive [70,71]. To evaluate the electrochemistry of the FePO<sub>4</sub> olivines versus sodium, the lithium analogue LiFePO<sub>4</sub> was prepared and the lithium was extracted to produce orthorhombic FePO<sub>4</sub> which was then cycled at a very slow rate (1 Li in 50 h) in a sodium cell. First reported in 2010 [72], the structure of the electrochemically intercalated



NaFePO<sub>4</sub> confirmed that this compound retained the olivine framework with a unit cell volume of 320.14 Å<sup>3</sup>. It exhibits a volume contraction on Na extraction of almost 15%, more than twice that of Li-olivine (6.7%). Such large volume changes on de/insertion are common for Na-polyanion materials owing to the larger size of the Na<sup>+</sup> cation versus Li<sup>+</sup>, and can result in slow kinetics due to the higher energy needed to move the phase boundary in twophase reactions. The electrochemical curve is shown in Fig. 7a. On initial discharge, a voltage plateau at 2.8 V versus Na/Na<sup>+</sup> was observed and maintained until full insertion. On charge, a plateau indicative of a two-phase transition was observed at 3.0 V. Formation of a new single phase ordered line-phase at the composition  $\sim$ Na<sub>0.7</sub>FePO<sub>4</sub> is signalled by a shift of the plateau up to 3.2 V. The structure has not yet been identified, and subsequent cycling showed quick capacity fade [71]. The potentials observed for electrochemical cycling are in good agreement with those predicted by calculations [73]. Amorphous FePO<sub>4</sub> prepared at 100 °C was also found to intercalate sodium with a reversible capacity of 100 mAh/g [74].

Unlike the pure iron olivine, sodium iron/manganese olivine phosphate,  $Na(Fe_{0.5}Mn_{0.5})PO_4$ , could be synthesized directly via a molten salt reaction [75]. The electrochemical profile is shown in Fig. 7b. Interestingly, the electrochemical profile of Na(Fe<sub>0.5</sub>Mn<sub>0.5</sub>)-PO<sub>4</sub> exhibited a sloping profile over the entire voltage range when cycled versus sodium, with a somewhat low average potential of 2.7 V suggestive of a kinetic limitation. The origin of this solid solution behavior, confirmed by XRD measurements, is still under investigation, although it could be the result of transition metal



cation (Fe<sup>3+</sup>/Mn<sup>2+</sup>) disorder as observed previously for Li<sub>x</sub>(Mn<sub>y-</sub>Fe<sub>1-y</sub>PO<sub>4</sub> (0  $\leq$  x, y  $\leq$  1) [76].

# 3.1.5. Sodium vanadium fluorophosphates

The high potential of the V<sup>3+</sup>  $\rightarrow$  V<sup>4+</sup> redox transition raises the cell voltage of these polyanionic materials compared to those described above. Two vanadium fluorophosphates based on this transition have been reported to date: NaVPO<sub>4</sub>F and Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F<sub>3</sub>. Studies on NaVPO<sub>4</sub>F demonstrated that Na extraction occurs over two different voltage plateaus in a sodium-ion cell: one at 3.0 V and the other at 3.7 V, indicative of a structural transformation [77]. Furthermore, Sauvage et al. [78] produced a new compound, Na<sub>3</sub>(VO)<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F, from the same precursors used to make "NaV-PO<sub>4</sub>F" which caused the authors to call the stoichiometry of Barker's material into question. This new vandyl compound exhibited a reversible capacity of 87 mAh/g over the course of two different voltage plateaus at 3.6 V and 4.0 V versus Na.

 $Na_3V_2(PO_4)_2F_3$  was cycled in a unique hybrid Na/Li-ion cell with graphite as the negative electrode and a lithium salt electrolyte [79]. Cycling data collected at charge/discharge rates of C/2 and 2C showed an initial cathode reversible capacity of 115–120 mAh/g, and the cells cycled with little capacity fade behavior after 400 cycles. Na/Li ion exchange was thought to occur in solution, thus the Li ions, and not the Na ions, intercalated into the graphite. This was the objective, since Na intercalation into graphite is not reversible. These data demonstrate the stability of this novel battery configuration where a sodium-containing positive electrode material may be paired with a Li electrolyte and graphite to achieve electrochemical reactivity.



**Fig. 8.** (a) Electrochemical profile of  $Na_2FePO_4F$  cycled versus sodium at a rate of C/ 15. Reproduced with permission from [82] and (b) electrochemical profile of  $Na_2FePO_4F$  cycled in a lithium cell showing the first cycle (grey) and the second cycle (black) at a rate of C/10. Reproduced with permission from [70].

#### 3.1.6. Layered sodium iron fluorophosphate

Na<sub>2</sub>FePO<sub>4</sub>F was first reported by our group in 2007 and was found to be isostructural with the previously reported Mg [80] and Co [81] sodium fluorophosphates which adopt a layered structure. Two-dimensional iron phosphate sheets house two Na<sup>+</sup> cations: Na (1) sits exactly between the layers and the Na (2) resides close to the phosphate sheets. The layered structure of Na<sub>2-</sub> FePO<sub>4</sub>F allows for minimal structural modification on sodium deintercalation. The volume change between Na<sub>2</sub>FePO<sub>4</sub>F and NaFePO<sub>4</sub>F on extraction of Na (2) is 3.7% [70], much lower than that found for the sodium olivine ( $\sim$ 15%) [59]. This results from the highly beneficial effect of the Na (1) ion. It cannot be electrochemically removed by oxidation owing to the inaccessibly high potential of the  $Fe^{3+/4+}$  couple, and remains as a "pillar" between the layers to prop them apart. The kinetics of transport are also enhanced as the layers do not collapse. The material was also prepared by Armand et al., using a novel ionothermal synthesis route (solution phase synthesis in an ionic liquid) that afforded good crystallinity. The electrochemical profile of Na<sub>2</sub>FePO₄F cycled versus sodium is shown in Fig. 8a. Both charge and discharge profiles appear to contain two two-phase plateaus, centered at 2.90 V and 3.05 V versus Na/Na<sup>+</sup>, and 80% of the 120 mAh/g theoretical capacity was sustained on cycling [82]. A shift in the electrochemical potential observed halfway through the charge and discharge cycles is in good agreement with a structural distortion observed for the single phase compound, Na<sub>1.5</sub>FePO<sub>4</sub>F observed previously [65].

When cycled versus Li/Li<sup>+</sup>, Na<sub>2</sub>FePO<sub>4</sub>F demonstrated very different electrochemical properties. Representative electrochemical profiles for these cells are shown in Fig. 8b. Even before charging the cell, evidence of Li/Na ion exchange in the positive electrode was found [83]. The voltage profile for the first cycle resembles that found for the sodium cells but no flat two-phase regions were observed, which indicated Na/Li disorder in the positive electrode induced solid-solution like behavior [70]. The average voltage was about 3.4 V versus Li/Li<sup>+</sup> and the capacity was very stable over 50 cycles. Further ion exchange occurred on subsequent cycles such that the electrochemical profile continued to evolve: note the differences between the first and second cycles in Fig. 8b. The electrode material eventually reached a stable composition of Li<sub>1.5</sub>Na<sub>0.5</sub>FePO<sub>4</sub>F after about 20 cycles, suggesting that this is the limiting case for ready Na/Li exchange [83].

#### 3.1.7. Tavorite sodium iron fluorosulfate

Nano-crystalline NaFeSO<sub>4</sub>F was prepared by a topotactic solvothermal reaction of FeSO<sub>4</sub>·H<sub>2</sub>O and NaF in glycol [84] or ionic liquids [85]. The structure of NaFeSO<sub>4</sub>F is similar to that of the natural mineral tavorite (LiFePO<sub>4</sub>OH) [86]. It is comprised of a corner-shared FeSO<sub>4</sub>F framework with sodium ions residing in spacious tunnels that run along the [110] direction. Atomistic modelling studies were performed to determine the activation energy of Na-hopping in this material. They revealed that sodium ion hopping between the tunnels (in the [101] direction) has a lower activation energy (0.6 eV) than in other directions where values as high as 1 eV dominate, and thus NaFeSO<sub>4</sub>F is effectively a 1-D ion conductor [87]. This is in sharp contrast to LiFeSO<sub>4</sub>F which exhibits activation energies for Li<sup>+</sup> ion hopping in multiple crystallographic directions between 0.35 and 0.44 eV as a consequence of its slightly different (albeit fairly similar) structure. The limited ion mobility of the Na analogue, along with a large volume change on redox, adversely affects the electrochemistry: NaFeSO<sub>4</sub>F displayed minimal reversible extraction of sodium, as less than 10% could be removed from the structure [84,85]. This illustrates the difficulty of extending solid state electrochemistry concepts from a lithium to a sodium analogue even when they are almost isostructural.



Fig. 9. Representation of the manner of which sodium intercalates into hard carbon, the "house of cards" model. Reproduced with permission from [92].

#### 3.2. Negative electrode materials for Na-ion batteries

#### 3.2.1. Carbon materials

Graphite, the common negative electrode in Li-ion batteries, cannot be used as an insertion electrode in Na-ion batteries as Na atoms do not intercalate between the carbon sheets [88–90]. Other carbonaceous materials have been investigated, however, and show promise. Sodium intercalation was observed in petro-leum cokes,[91] along with hydrogen-containing carbon, soft carbons (small regions of ordered graphene) and hard (disordered) carbons [90,92]. The potential of Na insertion into hard carbon is close to that of the metal itself, which indicates there was very little carbon to sodium charge transfer. This would occur if the sodium inserted into the carbon *via* pores at the surface. Sodium was found to intercalate between the disordered layers of the hard carbon, as shown in the schematic diagram in Fig. 9. Hard carbon prepared from glucose demonstrated a capacity of 300 mAh/g at a slow intercalaction rate (C/80).

More recently, a non-graphitic carbon based on a porous silica template was prepared by Wenzel et al. in 2011 [93]. The high porosity enhanced the electrochemical performance at higher rates: a capacity of 180 mAh/g was achieved on the first cycle at a rate of C/5, but faded to 80 mAh/g after 125 cycles. This carbon outperformed several other industrial carbons, though, likely as a result of the carbon microstructure and porosity.

# 3.2.2. Low potential metal phosphates

Sodium insertion into the NASICON-type  $NaTi_2(PO_4)_3$  for both aqueous and non-aqueous cells was reported by Park et al. in 2011 [94]. Na intercalates into this compound by a two-phase mechanism at 2.1 V versus  $Na/Na^+$ . The observed capacities for  $Na-Ti_2(PO_4)_3$  in non-aqueous and aqueous electrolytes on cycling were 120 and 123 mAh/g respectively, which corresponds to over 90% of the theoretical capacity of 133 mAh/g. The polarization observed in the aqueous electrolyte on cycling was substantially smaller than in non-aqueous electrolyte, especially at a large current density, as a result of the lower impedance and the viscosity of the aqueous electrolyte. The Na-ion insertion/extraction potential is located at the lower limit of the electrochemical stability window of the aqueous  $Na_2SO_4$  electrolyte, which makes  $NaTi_2(PO_4)_3$  an attractive negative electrode for aqueous sodium-ion batteries.

# 3.2.3. Low potential sodium metal oxides

An interesting report on sodium intercalation into amorphous  $TiO_2$  nanotubes was presented in 2011 [95]. No significant intercalation of sodium ions was observed when narrow  $TiO_2$  nanotubes (<45 nm inner diameter, 10 nm wall thickness) were cycled versus sodium. However, as the size of the nanotubes were increased to >80 nm inner diameter, wall thickness >15 nm, Na intercalation *was* observed: cells cycled at a rate of C/3 exhibited a capacity of 100 mAh/g between 2.5 and 0.9 V versus Na/Na<sup>+</sup> on the first cycle, which increased gradually on cycling to reach 140 mAh/g on the 15th cycle. The improved intercalation properties for the larger

tubes was attributed to the fact that they should have a greater number of Na<sup>+</sup> charge carriers (because of the greater volume of electrolyte contained within the tube) and hence could more easily establish a critical ion concentration.

A recent report on layered Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> suggests it has the lowest potential of any Na intercalation compound thus far [96]. Reduction takes place with the observation of a reversible plateau around 0.3 V versus Na/Na<sup>+</sup> (Fig. 8), which is quite remarkable. At this potential, two additional sodium ions were intercalated into the structure at a C/25 rate which corresponds to a capacity of 200 mAh/g and reduction of 2/3 of the Ti<sup>4+</sup> to Ti<sup>3+</sup>.

Vanadium oxides are also known to exhibit low potential alkali intercalation chemistry. Sodium deinsertion from the O3 phase of NaVO<sub>2</sub> has been examined by Delmas et al. in a detailed study that elucidated many of the complex structural changes that take place [97]. On deintercalation, the phase adopts a monoclinic distortion to form an O'3 structure where the octahedral site occupation of Na<sup>+</sup> is preserved (it is interesting to note that in contrast, chemical oxidation of NaVO<sub>2</sub> induces a switch from octahedral to prismatic coordination of the Na<sup>+</sup> cation [98]). Electrochemical deintercalation is reversible up to at least 0.5 mol Na per mole, corresponding to a capacity of 126 mAh/g of active material. Single line-phases were identified for both Na1/2VO2 and Na2/3VO2 compositions. Similar studies were later reported by Hamani et al. who confirmed the existence of these phases [99]. The voltage profile of each compound goes through several steps on both oxidation and reduction between 1.2 V and 2.4 V, although above 2.4 V an electrochemically inactive phase formed. While both compounds exhibit good reversibility on initial cycling, Na<sub>0.7</sub>VO<sub>2</sub> displays very low polarization, a possible indicator of good performance at high rates. Nonetheless, the large voltage variation during cycling and the extreme oxygen sensitivity of NaVO<sub>2</sub> present challenges for practical applications.

Another vanadium oxide with a tunnel structure,  $NaV_6O_{13}$ , was prepared in nanorod morphology *via* hydrothermal synthesis [100]. The electrochemical profile contained two distinct plateaus at 2.7 V and 2.3 V before the main plateau at 1.7 V versus  $Na/Na^+$ , making it a slightly high voltage negative electrode material. It is also prone to substantial capacity loss at low cycling rates.

#### 3.2.4. Alloys

As recently noted by Ceder [73], little research has been done thus far on sodium alloy materials as negative electrodes for sodium-ion batteries, although silicon alloys are well-researched for Li-ion batteries. The electrochemical sodiation of lead has been reported and up to 3.75 Na per Pb were found to react [39]. The same fraction of sodium might be expected to react with Sn, as Na<sub>15</sub>Sn<sub>4</sub> is a known compound, although Si and Ge might be expected to uptake less sodium: the only known alloys of sodium/silicon and sodium/germanium are NaSi and NaGe. Nevertheless, since full lithiation of silicon alloys is not typically sustained in any case in order to avoid large volume changes, this may not present a problem. The potentials for these reactions were recently predicted [73], and show promise for future explorations.

#### 4. Conclusions

Owing to concerns over lithium cost and sustainability of resources, sodium and sodium-ion batteries have re-emerged as promising candidates for both portable and stationary energy storage. Molten Na cells based on Na–S and Na–NiCl<sub>2</sub> developed in the last decade are commercially available and are especially of use for large-scale grid-applications; but new directions include "lower temperature" (i.e., 100 °C) Na–S and Na–O<sub>2</sub> cells, as well as ambient temperature Na–O<sub>2</sub> cells. These initial reports on Na–O<sub>2</sub> technology provide intriguing possibility with very high capacities as well as relatively low polarization.

In terms of ambient-temperature cells that operate on the basis of intercalation chemistry, many positive electrode materials reported thus far have been analogues of various Li-battery materials such as the layered oxides, NASICON compounds and olivines. Typically, the sodium and lithium compounds are not strictly isostructural though, especially in the case of polyanion materials where the influence of the alkali size/charge ratio is significant in determining the thermodynamically most stable phase. Thus, different electrochemical properties result and intriguing differences have emerged between the  $\mathrm{Li}^{*}$  and  $\mathrm{Na}^{*}$  cation systems. Similar observations are true for negative electrode materials: hard carbons, but not the graphitic materials that are used in Li-ion cells, have been reported to be active Na-ion intercalation compounds at low potential. Much scope for porous carbon design lies in this area. Sodium metal dioxides based on vanadium and titanium have also been studied for their low voltage properties. Intriguingly, modelling studies have revealed that the activation energy for Na<sup>+</sup>-ion hopping is often lower than for Li<sup>+</sup> (perhaps due to less polarization), especially for layered oxides. The generally lower voltage of Na compounds also provides considerable advantages for negative electrode development by increasing the overall voltage and hence energy density. Of course, the potential of the sodium-containing positive electrode would be similarly lowered, but here there is the opportunity to explore materials where the lithium analogues have too high a potential to support many conventional electrolytes.

Based on electrochemical studies – not surprisingly – open and layered structures which are better able to accommodate the large Na<sup>+</sup> ions have proven to hold the most promise of the intercalation compounds. In terms of phase stability, these structures also generally have exhibit Na and Li versions of the same compound. However, as illustrated by Na<sub>2</sub>FePO<sub>4</sub>F, the development of new structures and framework types based specifically on sodium (i.e., not variations on the lithium analogue) are more likely to be pivotal for advancement of the Na-ion battery field. For intercalation systems, this means overcoming the larger volume expansion/contraction afforded by the sodium cation with clever solid state structure design. Overall, though, it is clear that Na-ion batteries can vie with Li-ion batteries in several important respects, and there is furthermore much opportunity and promise in this area.

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